



Address: \_\_\_\_\_  
Post Office Box or Number of Street                      City                      State                      Zip Code

Phone Number: (    ) \_\_\_\_\_

7. For the applicant's investment advisor or broker, if any:

Name: \_\_\_\_\_  
First Name                      Middle Name                      Last Name (Include Sr., Jr., etc., if applicable)

Address: \_\_\_\_\_  
Post Office Box or Number of Street                      City                      State                      Zip Code

Phone Number: (    ) \_\_\_\_\_

8. For the applicant's insurance advisor, if any:

Name: \_\_\_\_\_  
First Name                      Middle Name                      Last Name (Include Sr., Jr., etc., if applicable)

Address: \_\_\_\_\_  
Post Office Box or Number of Street                      City                      State                      Zip Code

Phone Number: (    ) \_\_\_\_\_

9. For the registered or authorized agent upon whom service of process in any proceedings against the applicant or proceedings in any court of this state or in the U.S. District Court for the State of NH:

Name of the Agent: \_\_\_\_\_  
First Name                      Middle Name                      Last Name (Include Sr., Jr., etc., if applicable)

Agent's Address: \_\_\_\_\_  
P.O. Box or Number of Street                      City                      State                      Zip Code

Agent's Phone Number: (    ) \_\_\_\_\_

10. Is the beneficial owner of any stock an individual or organization other than the owner of record or subscriber? If the applicant cannot answer this question by Yes or No, then set forth an explanation.  Yes  No

Explanation: \_\_\_\_\_

If answer is Yes, submit as Exhibit No. 28 a statement showing (a) the name of the owner of record or subscriber, (b) the name of the beneficial owner and (c) the conditions under which the owner or subscriber holds and votes or has subscribed for such stock.

11. Has applicant any other obligations or securities authorized or outstanding which bear voting rights either absolutely or upon any contingency?  Yes  No

If the answer is Yes, submit as Exhibit No. 29 a statement of (a) the nature of such securities, (b) the face value or par value, (c) the number of units authorized, (d) the number of units issued and outstanding, (e) the number of units, if any, proposed to be issued, (f) the conditions or contingency upon which such securities may be voted and (g) facts showing whether or not such securities have been voted or entitled to be voted in the past 5 years and at the present time.

12. Does the applicant have executive officers or directors who are also executive officers or directors of any other racetrack that is or has been licensed by this or any other racing commission?  Yes  No

If the answer is Yes, submit as Exhibit No. 30 a statement setting forth the names of such executive officers or directors and all pertinent details.

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**DIRECT OR INDIRECT OWNERSHIP, FINANCIAL, EQUITABLE OR OTHER INTERESTS**

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13. For each party to the application:

(a) Submit as Exhibit No. 31 signed and filed personal tax returns for individuals or corporate tax returns for organizations for the prior 3 tax years including supporting schedules.

(b) For organizations, submit as Exhibit No. 32 financial statements compiled, reviewed or audited by a certified public accountant covering the same 3-year period as the organization's tax returns filed pursuant to (a) above.

***\*If an organization is a trust which has only individuals as trustees and beneficiaries, then such trust shall be excluded from the requirements in (b) above provided that all trustees and beneficiaries submit the Multi-Jurisdictional Personal History Disclosure Form.***

(c) Submit as Exhibit No. 33 IRS forms 4506 and 4506-T covering the same 3-year period as the individual's or organization's tax returns filed pursuant to (a) above, including names and addresses of the individual preparing the returns.

14. Does the applicant or any of its executive officers, directors or stockholders have, or has ever had, any direct or indirect ownership, financial, equitable or other interest with the following:

(a) Any harness horse, running horse or dog racing meeting conducting legalized pari-mutuel wagering?  Yes  No

(b) Any application other than this pending before the NH Pari-Mutuel Commission?  Yes  No

Date of application: \_\_\_\_\_ Type of application: \_\_\_\_\_

(c) Any application which has been denied by the NH Pari-Mutuel Commission or any other state racing commission or authority?  Yes  No

Date of application: \_\_\_\_\_ Type of application: \_\_\_\_\_

(d) Any racing meeting, the license for which has been revoked?  Yes, date revoked \_\_\_\_\_  No

(e) Any harness horse, running horse or dog racing meeting conducting pari-mutuel wagering in a state where pari-mutuel wagering, betting, pool making or gambling was not or is not legalized by state law?  Yes  No

**\*If the answer to any question in 14.(a) through 14.(e) is Yes, submit as Exhibit No. 34 a full disclosure setting forth (1) nature of interest or connection with dates, (2) place of application or race meeting and (3) name under which such racing meeting was conducted.**

15. Does any individual or organization, other than those included in question 13. above, have any beneficial or financial interest in the applicant, any party to this application or this application?  Yes, submit as Exhibit No. 35 a statement setting forth the individual's or organization's name, address, telephone number and the type of interest held.  No

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**FINANCIAL PROJECTIONS AND STATEMENT**

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16. For new racetracks, submit as Exhibit No. 36 financial projections for the project including projected income statement, projected balance sheet, cash flow projection, assumptions supporting the projections, all financing and funding sources for the project and documentation and applications submitted to financing and funding entities relating to the project.

17. For existing racetracks, submit as Exhibit No. 37 a detailed itemized profit and loss statement signed by a certified public accountant for the fiscal year immediately preceding the date of this application as to all occupation and other income producing activities of the applicant.

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**TRACK APPROVAL AND OWNERSHIP INFORMATION**

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18. Has applicant's entire premises been approved by local authorities in accordance with RSA 284:15-c, RSA 284:17 or RSA 17-c, as applicable?  Yes  No

If the answer is Yes, on what date: \_\_\_\_\_

If the answer is Yes, submit as Exhibit No. 38 a certified copy of a written approval from each municipality in which the racing premises is located indicating that the municipality has approved the issuance of a license under RSA 284:15-c, RSA 284:17 or RSA 284:17-c.

19. Applicant's control over the racetrack is to be by reason of:

- Ownership, see Questions 21, 24, 25, 26 and 27 in this section
- Lease/Rent, see Questions 20, 22, 24, 25, 26 and 27 in this section
- Other, see Questions 20, 23, 24, 25, 26 and 27 in this section

20. If applicant's control is not by reason of ownership, provide the following information for the owner

Name: \_\_\_\_\_  
                    First Name                    Middle Name                    Last Name (Include Sr., Jr., etc., if applicable)

Address: \_\_\_\_\_  
                    Post Office Box or Number of Street                    City                    State                    Zip Code

Phone Number: (    ) \_\_\_\_\_

21. For ownership:

(a) Does the applicant own the entire premises involved?  Yes  No, go to question 22.

If the answer if Yes for a new racetrack, submit as Exhibit No. 39, a statement setting forth (a) the name of the individual or organization from whom the premises were purchased and (b) purchase price paid.

If the answer is Yes for an existing racetrack, submit as Exhibit No. 39 a statement setting forth (a) the name of the individual or organization from whom the premises were purchased, (b) purchase price paid, (c) real estate commission paid and (d) any amount paid for right of ways, bonus, etc.

(b) Have the premises been under the sole ownership of the applicant for 20 years or more?  Yes  No.

(c) Submit as Exhibit No. 40 a properly signed statement that the applicant has had sole ownership of the premises and the number of years of ownership. If ownership has been for less than 20 years, complete question 22 or 23 as applicable.

22. Is applicant leasing or renting the premises involved?  Yes, fill out information below  No, go to question 23.

For an individual lessor/rentor:

Name of Lessor/Rentor: \_\_\_\_\_  
                                    First Name                    Middle Name                    Last Name (Include Sr., Jr., etc., if applicable)

Address of Lessor/Rentor: \_\_\_\_\_  
                                    P.O. Box or Number of Street                    City                    State                    Zip Code

Phone Number of Lessor/Rentor: (    ) \_\_\_\_\_

For an organization, submit as Exhibit No. 41 the name and address for each director and stockholder or other similar positions.

Submit as Exhibit No. 42 a copy of the lease or rental agreement on the property.

23. If the racing premises are not owned or leased, submit as Exhibit No. 43 a copy of any documents evidencing the means by which the applicant has been or will be afforded the use of the racing premises.

24. Submit as Exhibit No. 44 a statement setting forth the amounts of any mortgages against the premises involved, the names of the holders of the same and the dates of maturity. Include the book, page number and name of the public office, if any, where such mortgage is recorded.

25. Provide information for the questions below as to contracts and arrangements now in existence, as well as any arrangements or negotiations, written or oral, which relate to the present or future ownership, control or operation of the track:

(a) Does the applicant have an agreement to purchase or an option to purchase the premises involved?  Yes  No

If the answer is Yes, submit as Exhibit No. 45 a copy of the agreement to purchase or option to purchase.

(b) Will applicant have and maintain control of the racetrack, its equipment and entire operation?  Yes  No

If the answer is No, submit as Exhibit No. 46 a copy of any written agreements, obligations or promises statement or for oral contracts or understandings, provide a statement of the substance of those including the name of the party or parties with whom made.

(c) Are there any documents, instruments, contracts or understandings relating to the ownership, management, use or control of the racetrack or any concession, or any right or interest therein financial or otherwise?  Yes  No

If the answer is Yes, submit as Exhibit No. 47 a copy of any written agreements, obligations or promises statement or for oral contracts or understandings, provide a statement of the substance of those including the name of the party or parties with whom made.

(d) Are there any agreements, obligations or promises expressed or implied made by the applicant, any of its agents or any party to this application, which are to be performed by the applicant?  Yes  No

If the answer to is Yes, submit as Exhibit No. 48 a copy of any written agreements, obligations or promises statement or for oral contracts or understandings, provide a statement of the substance of those including the name of the party or parties with whom made.

(g) Is the applicant or any party to the application delinquent in the filing of any report or the payment of any tax as required by the state of NH or any other state?  Yes  No

If Yes, submit as Exhibit No. 49 a complete detailed report giving names, dates and all other pertinent information.

26. Are there any rights of way associated with the premises?  Yes  No

If Yes, submit as Exhibit No. 50 a copy of each document establishing the existence of each right of way associated with the premises.

27. Submit as Exhibit No. 51 a copy of all policies of insurance, as well as a copy of the declaration sheets relating thereto.

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**TRACK LOCATION AND SPECIFIC RACE MEETING DETAILS**

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28. Location of the racetrack where the live race meeting is proposed to be held or conducted:

Physical Address: \_\_\_\_\_  
Number of Street City State Zip Code

Mailing Address: \_\_\_\_\_  
P.O. Box or Number of Street City State Zip Code

For new racetracks, submit as Exhibit No. 52 the exact description, by metes and bounds, number of acres in premises, a plot plan showing the entire premises with all buildings presently on premises or proposed to be erected on said premises, information showing accessibility by highway, railroad or other means of public transportation, population within a 50 mile radius and distances from principal cities within said 50 mile radius.

Submit as Exhibit No. 53 a copy of a tax map(s) from the municipality(ies) in which the racing premises is located depicting the location of the entire racing premises.

29. Hours and days on which the live race meeting is intended to be held or conducted:

Monday \_\_\_\_\_  Tuesday \_\_\_\_\_  Wednesday \_\_\_\_\_  Thursday \_\_\_\_\_  
 Friday \_\_\_\_\_  Saturday \_\_\_\_\_  Sunday \_\_\_\_\_

30. Number of live races intended to be run: \_\_\_\_\_ Minimum purse to be paid: \_\_\_\_\_

(a) Name of Racing Secretary employed if license is granted: \_\_\_\_\_

(b) Name of Mutuel Manager employed if license is granted: \_\_\_\_\_

(c) Name of General Manager employed if license is granted: \_\_\_\_\_

31. If the license is granted, will the applicant carry:

(a) Workmen's compensation insurance  Yes  No

(b) Public liability insurance  Yes  No

(c) Property damage insurance  Yes  No

Submit as Exhibit No. 54 a statement setting forth all other types of insurance intended to be carried for the protection of employees and patrons.

32. Submit as Exhibit No. 55 statement setting forth all pertinent information on the details of the applicant's racing premises including the following as applicable: (if not yet constructed, then submit proposed information)

(a) Total number of acres used for track, buildings, kennels or stables and parking area.

(b) Parking spaces information:

(1) Area (3) Is parking area lighted (5) Is charge made for parking and if so, how much

(2) Automobile capacity (4) Is parking area marked out

(c) Number of pari-mutuel ticket windows provided: \_\_\_\_\_

(d) Restroom facilities for patrons of each gender

(e) Are all buildings properly maintained, i.e. painting, repair, etc.

(f) System of sewerage disposal and if not connected to a main sewerage system, give details of system used

(g) Number of outlets for fresh, pure drinking water for patrons

(h) Track information including:

(1) Size and type of track

(2) Number of starting boxes and chutes

(3) Number of lock-out kennels and number of boxes in each lock-out kennel

- (4) Number of stables and number of stalls in each stable
- (5) Facilities for lead-outs
- (6) Recreation room for owners and trainers
- (7) Description of the paddock area, test area and cooling-out area
- (8) Description of the fire protection in the paddock, test area, lock-out kennels and stables including number of sprinklers, number of fire alarm boxes and other fire protective measures in those areas
- (9) Description of protective measures in the paddock, test area, lock-out kennels and stables
- (10) Description of other accommodations, facilities or services in paddock area
- (j) A list of other accommodations, facilities or services for the benefit of the patrons attending

33. Submit as Exhibit No. 56 a detailed statement setting forth security measures which will be employed for the protection of patrons attending racing on the premises of the applicant and the control of traffic within the premises and on roads leading to and from the premises including but not limited to:

- (a) Number of uniformed police officers to be on duty each day inside the track
- (b) Whether officers in (a) above will be regular police officers or special officers
- (c) Number of uniformed police officers detailed to traffic within the premises and on roads leading to and from the premises before, during and after racing hours
- (d) Number of plain clothes officers or detectives assigned within the track proper
- (e) System to be used for the detection and suppression of illegal gambling within the premises of the applicant
- (f) System to be used in the detection and suppression of pick-pockets, touts and other undesirable characters within the premises of the applicant
- (g) Name of the individual who will be in charge of security within the track proper
- (h) Name of the individual who has supervision of traffic control within the premises of the applicant and will act as liaison between the applicant and local police authorities in the control of traffic outside of the premises of the applicant
- (i) Name of the police authority who has been consulted in setting up security measures within the track and the control of traffic within and outside of the premises of the applicant

34. Submit as Exhibit No. 57 a statement setting forth the trade name of any of the following equipment used at the track and the date of purchase or the date of present contract, expiration date of the contract and a copy of the contract including: (if not yet in operation, submit proposals)

- |                           |                                      |   |
|---------------------------|--------------------------------------|---|
| (a) Pari-mutuel equipment | (e) Timing devices                   | (i) Scales  |
| (b) Starting boxes        | (f) Inter-communication system       | (j) Lure  |
| (c) Photo-finish camera   | (g) Public address system            | (k) Any other equipment used in conducting racing |
| (d) Film patrol           | (h) Closed circuit television system |   |



**Exhibits furnished as required by this application:**

<b>Exhibit No.</b>	<b>Name of Officer or Employee (1) by whom made or (2) under whose direction exhibit was prepared (show which)</b>	<b>Official Title</b>	<b>Check if Not Applicable</b>
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